

# Active attenuation of a trailing vortex inspired by a parabolized stability analysis

Adam M. Edstrand<sup>1</sup>†, Yiyang Sun<sup>1</sup>, Peter J. Schmid<sup>2</sup>,  
Kunihiko Taira<sup>1</sup>, and Louis N. Cattafesta III<sup>1</sup>

<sup>1</sup>Department of Mechanical Engineering, Florida Center for Advanced Aero-Propulsion,  
Florida State University, Tallahassee, FL 32310, USA

<sup>2</sup>Department of Mathematics, Imperial College London, London SW7 2AZ, UK

(Received xx; revised xx; accepted xx)

Designing effective control for complex three-dimensional flow fields proves to be non-trivial. Oftentimes, intuitive control strategies lead to suboptimal control. To navigate the control space, we utilize a linear parabolized stability analysis to guide the design of a control scheme for a trailing vortex flow field aft of a NACA0012 half-wing at an angle of attack  $\alpha = 5^\circ$  and a chord-based Reynolds number  $Re = 1000$ . The stability results show that the unstable mode with the smallest growth rate (fifth wake mode) provides a pathway to excite a vortex instability, whereas the principal unstable mode remains in the wake of the wing. Inspired by this finding, we perform direct numerical simulations that excite each mode with body forces matching the shape function from the stability analysis. Relative to the baseline no-control case, the principal wake mode reduces the vortex length, while the fifth wake mode further shortens the tip vortex. Analogously, the streamwise circulation of the trailing vortex is found to be significantly reduced. From these results, we conclude that a rudimentary linear stability analysis can provide key insight into the underlying physics and help engineers design more effective control.

## 1. Introduction

The ability to control a flow has significant economic and safety impacts in transportation and military industries, as it can offer solutions to reduce drag and increase the efficiency of virtually every vehicle designed. However, effective flow control of three-dimensional flow fields with complex geometries presents an immense challenge to control designers. Intuitive design can often lead control designers astray from more effective but often obscure control methodologies caused by the challenging nature of the disturbance development. While using a disturbance to beneficially modify a flow field is the primary focus of flow control, hydrodynamic stability theory is defined as the study of a disturbance on an equilibrium state. The commonality between these two fields helps strengthen the ability of a control designer to create effective control strategies. Here, we use the results from a parabolized stability analysis to guide us in designing an effective control strategy for a trailing vortex flow field showing that even a rudimentary linear stability analysis can provide key insights into achieving enhancement in effective control.

Trailing vortices produce adverse effects in aeronautic, automotive, and maritime applications. A natural byproduct of lift, trailing vortices result from pressure differences between the upper and lower parts of a lifting surface. The resulting persistent vortex wake poses a potential hazard on a following aircraft, requiring conservative spacing limits imposed by air traffic control and causing air traffic delays (Spalart 1998). From

† Email address for correspondence: aedstrand@fsu.edu

an economic perspective, trailing vortices also produce induced drag, which accounts for a significant portion of drag on aircraft and automotive vehicles and a corresponding increased fuel consumption. Trailing vortices from trailing-edge flaps can also produce significant noise radiation, commonly termed “flap-edge noise” (Streett *et al.* 2003). In maritime applications, the control surface of an underwater vehicle performing a maneuver also generates an undesirable, persistent trailing vortex wake. These attributes have therefore generated significant interest in attenuating or breaking up a single or system of trailing vortices in the wake of vehicles.

Intuitive control approaches of a tip vortex lead control engineers to actuate in the tip region of the control surface. Examples of this type of control use momentum injection through different configuration slots in the wing tip region (Margaris & Gursul 2006, 2010; Edstrand & Cattafesta 2015). Alternative control strategies have modified the lift distribution through rapidly actuated miniature Gurney flaps along the trailing edge (Matalanis & Eaton 2007) and through trailing-edge blowing (Taira & Colonius 2009). Following another concept, trailing vortex wandering (Devenport *et al.* 1996), which has been attributed to a vortex instability (Jacquin *et al.* 2001; Edstrand *et al.* 2016), could potentially be leveraged to accelerate the break up of these vortices. With the help of stability theory, the underlying physical mechanisms of these innovative, albeit intuitive strategies can be further understood and potentially be harnessed for effective flow manipulation. Crouch (2005) summarizes recent control efforts that either excite three-dimensional instabilities using some form of active control or attempt to alter the structure of the vortices or the vortex wake. Our physics-based approach based on a parabolized stability analysis falls into the first category.

This paper first summarizes the parabolized stability analysis and corresponding direct numerical simulations over a half-span NACA0012 model. The parabolized stability results then provide nonintuitive physical insight that inspires a novel control approach for the attenuation of the vortex wake. Direct numerical simulations are again used to test this design concept. We then discuss the impact and salient features of these results, followed by conclusions and future recommendations.

## 2. Approach

We consider the flow over a finite wing based on a NACA0012 airfoil with a full-span aspect ratio of 2.5 and an angle of attack of  $\alpha = 5^\circ$ . The Reynolds number, defined by the free-stream velocity  $U_\infty$  and the chord  $c$ , is  $Re = 1000$ . The variables  $U_\infty$  and  $c$  are also used to render all variables dimensionless. In this section, we state the governing equations underlying our control design and outline the computational setup for our analysis.

### 2.1. Parabolized stability analysis

The geometric configuration of a finite wing, coupled with our focus on the interaction of the downstream wake and trailing vortex, allows a linear framework for the description of the perturbation dynamics. A further simplification is linked to the quasi-two dimensional nature of the flow, where the base flow is assumed to vary only slowly in the streamwise direction. The latter simplification motivates the formulation of the perturbation dynamics by the Parabolized Stability Equations (PSE), expressed as an initial value problem in the streamwise direction (Herbert 1997).

The linear assumption introduces the decomposition of the state vector  $\tilde{\mathbf{q}}$  into a base  $\tilde{\mathbf{Q}}$  and a perturbation  $\hat{\mathbf{q}}$  of infinitesimal amplitude. The base component  $\tilde{\mathbf{Q}}$  satisfies the steady, nonlinear Navier-Stokes equations; the perturbation part is governed by the

linearized Navier-Stokes equations. Prompted by the slow variation of the base flow in the streamwise direction, we assume the perturbation state vector  $\hat{\mathbf{q}}$  in the form

$$\hat{\mathbf{q}}(\mathbf{x}, t) = \mathbf{q}(\epsilon x, y, z) \exp\left(i \int_x \alpha(\xi) d\xi - i\omega t\right). \quad (2.1)$$

This decomposition consists of a product of a slowly-varying shape function  $\mathbf{q}$  and a rapidly-varying phase function. Moreover, the perturbation has been assumed periodic in time, and a Fourier transform in this direction introduces the real-valued frequency  $\omega$ . The small parameter  $\epsilon$  is taken as  $\mathcal{O}(Re^{-1})$ , and the local streamwise wavenumber  $\alpha$  is allowed to slowly vary with  $x$ . Upon substitution of the above decomposition (2.1) into the linearized Navier-Stokes equations, we can neglect  $\mathcal{O}(\epsilon^2)$  elliptic terms in  $x$ , and thus parabolize the governing equations in the streamwise direction. Formally, we can then recast the governing equations as an initial value problem shown as:

$$\begin{aligned} \mathbf{B} \frac{\partial \mathbf{q}}{\partial x} &= \mathbf{A} \mathbf{q} \\ \frac{\partial \mathbf{q}}{\partial x} &= \mathbf{B}^{-1} \mathbf{A} \mathbf{q} = \mathbf{L} \mathbf{q}, \end{aligned} \quad (2.2)$$

which constitutes an evolution equation in  $x$  and can hence be marched in the streamwise coordinate direction. The particular form of the matrix  $\mathbf{L}$  is omitted for brevity but can be derived following Schmid & Henningson (2001) while retaining the spanwise  $z$ -derivatives.

The decomposition (2.1) into shape and phase functions is ambiguous and requires further specifications to render it unique. Commonly, an auxiliary equation of the form

$$\iint_S \mathbf{q}^H \frac{\partial \mathbf{q}}{\partial x} dS = \iint_S \frac{\partial}{\partial x} \left( \frac{1}{2} \mathbf{q}^H \mathbf{q} \right) dS = 0 \quad (2.3)$$

is adopted, with  $S$  denoting the  $y$ - $z$  plane. Physically, this equation enforces that the kinetic energy of the shape function is invariant under a downstream translation. Mathematically, it enforces orthogonality between the shape function and its streamwise derivative, and thus retains only the non-exponential behavior (in  $x$ ) in the shape function; the exponential components are relegated to the (rapidly-varying) phase function. Equations (2.2) and (2.3) have to be solved simultaneously at each position in  $x$ , as the perturbation state vector is marched downstream in the streamwise direction. A spectral collocation method is used in the cross-stream directions and backward difference in the streamwise direction to solve the initial-value problem numerically. To ensure grid independence, a grid resolution check has been performed, ensuring that  $81 \times 81$  Chebyshev collocation points provide sufficient spatial resolution (Edstrand *et al.* 2018).

## 2.2. Direct numerical simulations

Direct numerical simulations are performed for flow over a half-span wing using the incompressible flow solver *Cliff* (from the *CharLES* software suite (Ham & Iaccarino 2004; Ham *et al.* 2006)). They complement and support the modal analysis based on the PSE. Second-order finite-volume and time-integration schemes are used to solve the discretized Navier–Stokes equations. The computational setup is shown in figure 1. The origin of the Cartesian coordinate system is placed at the trailing edge of the wing tip while  $x$ -,  $y$ -, and  $z$ -directions represent the streamwise, transverse, and spanwise directions, respectively. A computational domain of  $[x/c, y/c, z/c] \in [-15, 15] \times [-15, 15] \times [-1.25, 14.375]$  is used. In the present numerical study, we only consider the half-span ( $b/2c = 1.25$ ) of the wing and impose symmetry boundary conditions as illustrated in figure 1. At the inlet, a free stream velocity vector of  $[\tilde{u}, \tilde{v}, \tilde{w}] = [U_\infty, 0, 0]$  is prescribed and, at the outlet, convective

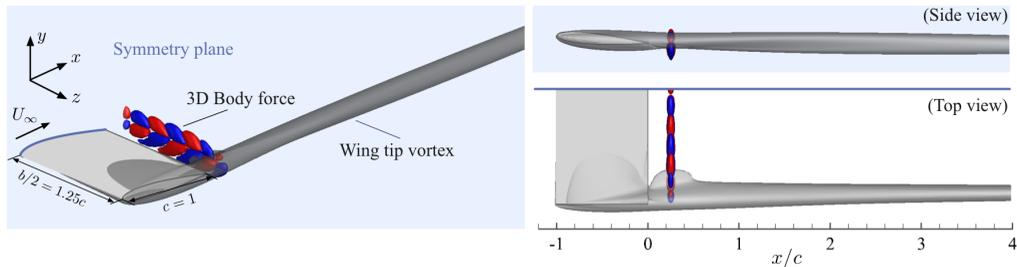


Figure 1: Schematic of trailing vortex wake of a three-dimensional half-span airfoil with NACA0012 profile. Isosurfaces of streamwise vorticity  $\omega_x = -0.8$  (transparent gray) and streamwise velocity perturbation  $u'$  (blue and red) of body force are visualized.

boundary conditions are specified. For all other far-field boundaries, slip conditions are employed. The mesh contains approximately 3.5 million volume cells, which is based upon our previous work (Edstrand *et al.* 2018) that contains a grid resolution study to ensure adequate spatial resolution.

With an objective to attenuate tip-vortex strength, we introduce a modal-based body force for flow control, guided by the present parabolized stability analysis. We use the insights from the parabolized stability analysis, such as the spatial perturbation distribution for  $\mathbf{q}$  and the associated temporal frequency  $\omega$  and streamwise wavenumber  $\alpha$  to introduce a three-dimensional body force  $\mathbf{f}_q$  expressed as

$$\mathbf{f}_q(x, y, z, t) = A\omega\mathbf{q}_r(y, z)G(x)\sin(\omega t + \phi), \quad (2.4)$$

where  $A = 0.5$  is the forcing amplitude and  $\phi$  is a reference phase. The values of  $\omega$  and the real component of mode  $\mathbf{q}_r$  are derived from the parabolized stability analysis. In our case, the mode shape function  $\mathbf{q}_r$  only consists of velocity disturbances  $[u, v, w]$  with unit magnitude ( $\|\mathbf{q}_r(y, z)\| = 1$ ). A Gaussian distribution  $G(x)$  of the form

$$G(x) = \frac{1}{\sqrt{2\pi}\sigma^2} e^{-\frac{(x-0.25c)^2}{2\sigma^2}} \quad (2.5)$$

places the body force one quarter-chord aft of the trailing edge with a variance  $\sigma^2 = 4 \times 10^{-4}$  providing a sufficiently compact forcing to render negligible the influence of the streamwise wavenumber  $\alpha$  on the chosen force  $\mathbf{f}_q$  in Eq. (2.4).

For controlled flow simulations, the mesh is further refined in two regions to ensure accurate results: the first region is around  $x/c = 0.25$  where the body force is introduced, and the second region covers the wake zone downstream of the trailing edge. The refined mesh contains approximately 5 million cells and has been verified (through additional grid resolution studies) to capture all relevant features of the unsteady flows in the controlled cases.

### 3. PSE-analysis of the wake

The streamwise parabolic nature of the PSE requires an initial condition upstream. This initial condition is taken from a global stability analysis using a locally-parallel-flow assumption (see Edstrand *et al.* 2018, for details). The parallel analysis is performed at a streamwise location of  $x/c = 0.25$  where the wake deficit is significantly larger than the nascent vortex. We performed a parametric study varying the frequency to determine the most unstable parallel flow case at  $x/c = 0.25$ , corresponding to  $\omega = 4.5$ . Consequently, a wake branch occurs with five unstable wake-dominated modes as well as a vortex branch

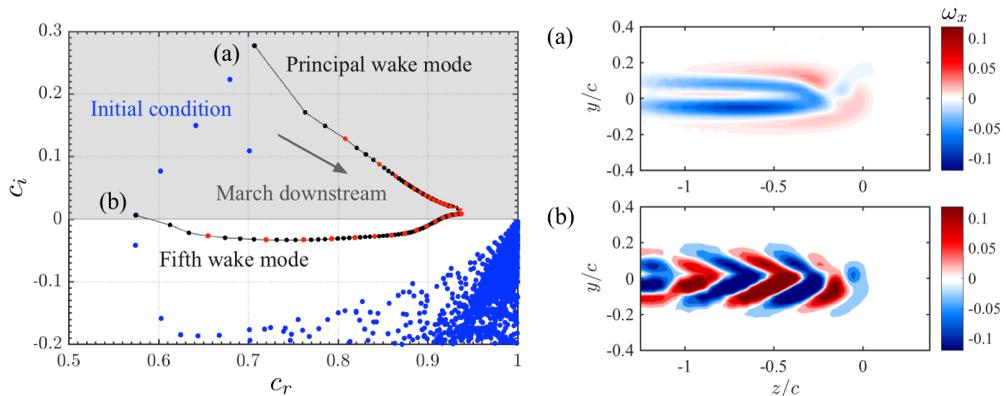


Figure 2: The blue dots represent the eigenvalue spectrum of the initial condition at  $x/c = 0.25$  with the grey shaded area denoting the unstable half plane. The lines represent the change in growth rate with downstream progression, with red dots indicating the location of integer chord numbers (i.e.,  $x/c = 1, 2, \dots$ ). Insets in the figures are the streamwise-vorticity shape functions of the (a) principal and (b) fifth wake modes.

containing a single vortex mode as shown by the blue dots in figure 2. For further insight into the spectrum, the reader is referred to Edstrand *et al.* (2018). In this present work, we focus on the characteristics of the most unstable mode, termed the principal wake mode (figure 2(a)), and the eigenvalue with the smallest growth rate, the fifth wake mode (figure 2(b)).

In light of our goal to attenuate the trailing vortex, it initially appears prudent to use the principal (most unstable) mode rather than the mode with the smallest unstable growth rate. However, observing the progression of the growth rates as we move downstream (see the black lines in figure 2), the growth rate of the principal wake mode decays monotonically whereas the fifth wake mode becomes increasingly unstable farther downstream as the base-flow vortex develops. Insight into this eventual instability can be gained by observing the progression of each mode shape function. The key observation relates to the direction of rotation of the two modes: the principal mode imposes a counter-clockwise rotation on the trailing vortex, whereas the fifth mode shows a clockwise-rotating structure at the same location (see figure 3 and insets). The eventual clockwise nature of the fifth wake mode far downstream is indicative of a vortex instability (Khorrami 1991; Mayer & Powell 1992), whereas the counter-clockwise rotation of the principal wake mode is the result of base flow field convection. The structure of the vortex instability has important implications with respect to flow control. Specifically, despite the fact that the principal wake mode has a larger growth rate upstream, the fifth wake mode may provide a pathway to excite the vortex instability farther downstream that may result in the effective attenuation of the tip vortex.

As such, we hypothesize that excitation of the fifth wake mode will accelerate the decay of the trailing vortex. To test this theory, we perform direct numerical simulations on the trailing vortex flow field with forcing in the shape of the principal wake mode and the fifth wake mode to investigate the effect of the force on the vortex development downstream relative to the baseline (no control) case. Both modes are triggered by localized body forces in the wake near the trailing edge of the airfoil; a superposition of them enables access to and provides controllability of the trailing vortex flow. These two modes will thus form the basis of a control strategy for the breakup of the trailing vortex flow.

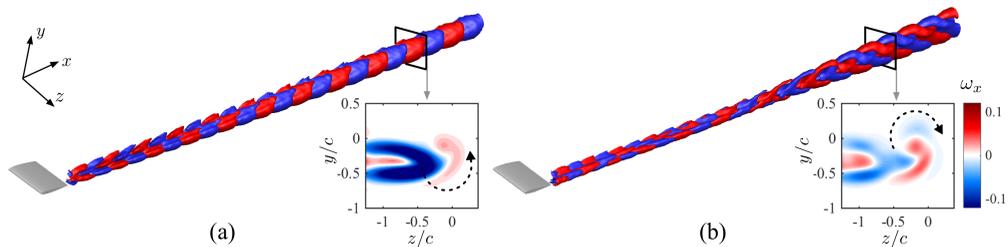


Figure 3: Isosurfaces of streamwise vorticity  $\omega_x = \pm 0.008$  for the (a) principal and (b) fifth wake modes in tip vortex region. The insets correspond to a cross-sectional cut of streamwise vorticity  $\omega_x$ . The broken arrows indicate the rotation directions of the modes.

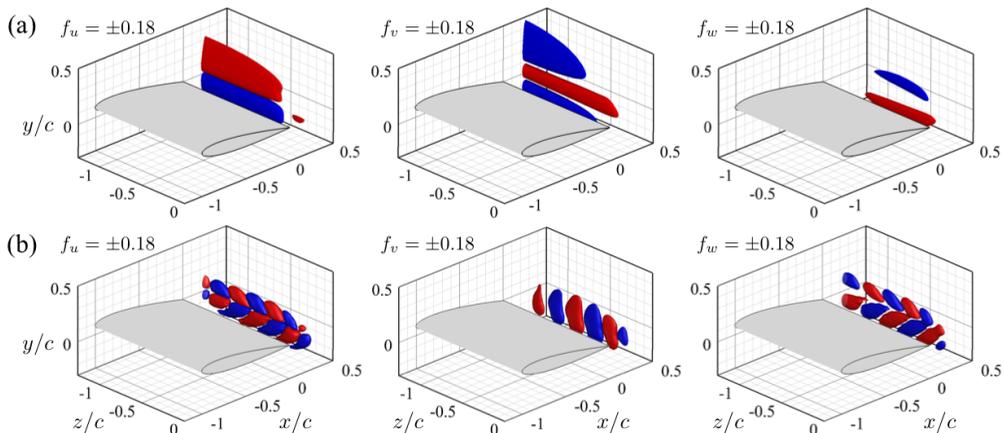


Figure 4: Isosurfaces of the 3D body force  $f_u$ ,  $f_v$ , and  $f_w = \pm 0.18$  for  $x$ -,  $y$ -, and  $z$ -momentum equations, respectively. The values of isosurfaces are indicated in the figure with a reference of  $\|\mathbf{q}_r\| = 1$ . Blue and red represent negative and positive values, respectively. Body force profiles are based on the (a) principal and (b) fifth wake modes.

#### 4. PSE-inspired flow control

The spatial profile of the fifth mode presents a counter-rotating feature near the tip-vortex core as it evolves downstream. As such, this mode holds a potential to effectively attenuate the tip vortex. Although this mode is not the most unstable mode, it is known from past free vortex studies that counter-rotating instabilities can efficiently destabilize the vortex core (Khorrami 1991; Mayer & Powell 1992). In this section, we consider using the spatial profiles of the principal and fifth modes as a local forcing input behind the trailing edge, and assess their control effectiveness in reducing the tip vortex strength.

The spatial structures of the real part of the shape function from the stability analysis  $\mathbf{q}_r(\mathbf{x}) = \text{Re}\{\mathbf{q}(\mathbf{x})\}$  of the principal and fifth modes are substituted into Eq. (2.4) to form the body force actuators, which are visualized in figure 4. After implementing the body force in the DNS, we assessed the influence of the forcing amplitude  $A$  since it is desirable to keep the forcing input small, while achieving significant attenuation of the tip vortex strength. The value of  $A = 0.5$  has been verified to result in notable modifications of the base flow. For smaller forcing amplitudes, the added perturbation dissipates without significant attenuation of the tip vortex.

The instantaneous flow fields with control applied are shown in figure 5. The principal mode excites the wake instability in the flow. We observe large fluctuations and vortex

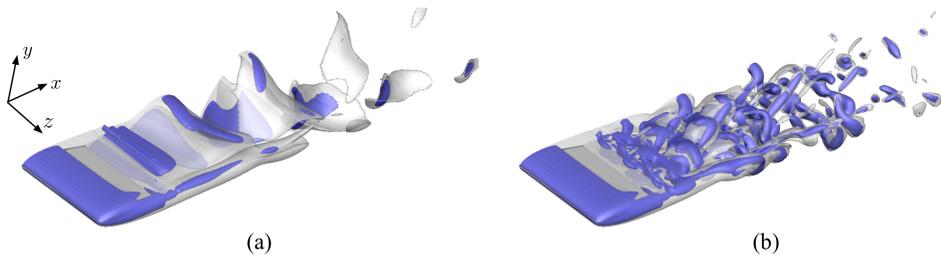


Figure 5: Isosurfaces of  $Q = 1$  (blue) and vorticity magnitude  $\|\tilde{\omega}\| = 2$  (transparent gray) from instantaneous controlled flow fields using the (a) principal and (b) fifth wake modes as body force.

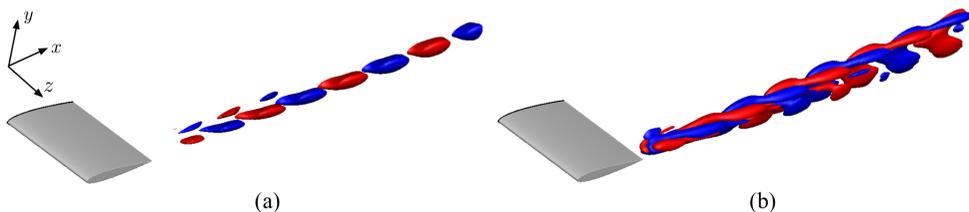


Figure 6: Isosurfaces of streamwise-vorticity ( $\omega'_x = \pm 0.01$ ) of velocity DMD modes associated with frequency  $\omega = 4.5$  for controlled cases with the (a) principal and (b) fifth wake modes as body force. The modal structures are visualized only in tip vortex region to highlight the rotation behavior.

shedding downstream of the trailing edge. Due to the unsteadiness introduced by the body force, the tip-vortex core exhibits a moderate level of oscillations. In the controlled case based on the fifth mode, the length scale of the body force is finer compared to the controlled case based on the principal mode, leading to the fifth mode case exhibiting smaller-scale structures. The vertical extent of the wake structure is narrower than the wake of the controlled flow using the principal mode. Furthermore, the tip vortex highly deforms as it convects downstream.

To further analyze the effects of the present control approach, dynamic mode decomposition (DMD) (Schmid 2010) is used to extract prominent modes from the controlled flows. We collect two periods of instantaneous velocity field data after the control effects have reached an asymptotic state. For both of the controlled cases, the dominant fluctuation is associated with the control frequency of  $\omega = 4.5$ . For the tip vortex region, the isosurfaces of streamwise vorticity of the dominant mode from each controlled case are presented in figure 6. For the controlled flow with the principal mode, we see perturbations aligned along the streamwise direction. For the fifth-wake-mode controlled case, the vorticity perturbations are braided as they convect downstream, and the braiding is in the counter-rotating direction with respect to the base flow, which agrees with the PSE prediction. While the PSE analysis suggests that the counter-rotating feature of the fifth mode becomes prominent past  $x/c \approx 8$ , the nonlinearity in the flow accelerates the emergence of such a counter-rotating feature. As the control effort based on the principal wake mode cannot generate the counter-rotating perturbation, the perturbation in the form of the fifth wake mode is indeed required to instigate the correct counter-rotating perturbation around the tip vortex.

The tip vortex strength is quantified by evaluating the streamwise circulation  $\Gamma_x$  using time-averaged velocity distributions  $\bar{\mathbf{u}}$  from the baseline and controlled flows. From the

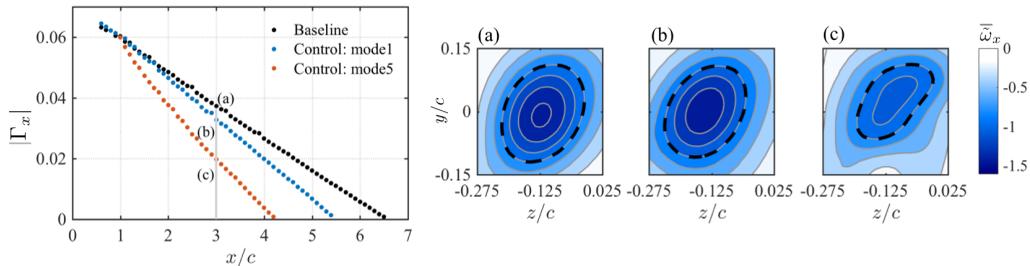


Figure 7: The tip vortex circulation  $\Gamma_x$  shown for the baseline and controlled cases evaluated at streamwise slices ( $y$ - $z$  plane). The integration path is indicated by the dashed lines (- - for  $\bar{\omega}_x = -0.8$ ) in subplots (a) baseline, (b) control using the principal wake mode, and (c) control using the fifth wake mode on the  $y$ - $z$  plane at  $x/c = 3$ .

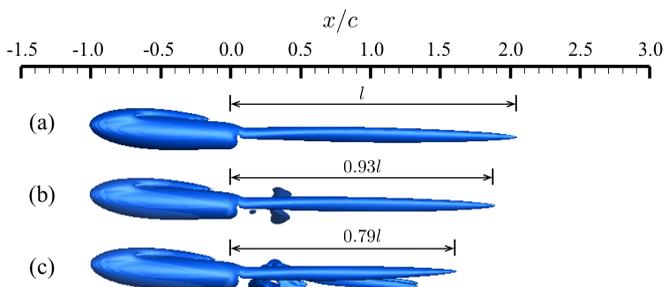


Figure 8: Visualization of the tip vortices with iso-surface of  $Q = 0.8$  (time averaged). Shown are (a) baseline flow, (b) controlled flow using the principal mode, and (c) controlled flow using the fifth mode.

3D flow field, we extract 2D slices ( $y$ - $z$  planes) at various streamwise locations for this analysis. For the integration path, a contour line of the time-averaged streamwise vorticity (constant  $\bar{\omega}_x$ ) on each slice is chosen to solely capture the tip vortex structure in both baseline and controlled cases. The tip vortex strength evaluated with a contour of  $\bar{\omega}_x = -0.8$  is shown in figure 7. A comparison of the circulation  $|\Gamma_x|$  over  $x$  for the baseline and controlled cases suggests that both controlled cases achieve a significant reduction in vortex strength. Noteworthy here is that a larger decrease is realized in the controlled case using the fifth wake mode as the body force. Although we only report the circulation with the integration path along  $\bar{\omega}_x = -0.8$  here, we have also examined the influence of the chosen value of the  $\bar{\omega}_x$ -contour and have observed the same behavior as reported in figure 7.

For a more qualitative comparison, we assess the length of the tip vortex core that is visualized using the  $Q$ -criterion (Hunt *et al.* 1988), as shown in figure 8. Compared to the baseline vortex core length  $l$ , the cores for the controlled cases based on the principal and fifth wake modes are shortened by 7% and 21%, respectively (based on  $Q = 0.8$ ). There are some secondary structures that also emerge around the tip vortex induced by the actuation force, as shown in figure 8. While the streamwise length of the vortex core varies slightly according to the selected isocontour value of  $Q$ , the tip vortex length is always observed to diminish for both controlled cases when compared to the baseline flow, regardless of the value of  $Q$  used for this assessment.

While we observe noticeable changes in the wake caused by the control efforts, the time-averaged lift and drag forces for both controlled cases are within 3.2% of the

Cases	$C_L$	$\tilde{\sigma}_{C_L}$	$C_D$	$\tilde{\sigma}_{C_D}$
Baseline	0.158	-	0.125	-
Controlled (principal mode)	0.160 (+1.5%)	0.00391	0.126 (+0.8%)	0.00040
Controlled (fifth mode)	0.163 (+3.2%)	0.00042	0.127 (+1.6%)	0.00003

Table 1: Assessment of the control influence on time-averaged lift ( $C_L$ ), drag ( $C_D$ ) coefficients and their standard deviations ( $\tilde{\sigma}$ ).

baseline flow, as shown in table 1. The present control schemes are able to deform the tip vortex by leveraging the underlying instability mechanisms. As the deformed tip vortex possesses higher curvature, viscous diffusion and vortex annihilation accelerate the tip vortex attenuation without significantly affecting the forces on the wing. Thus, the present control approach becomes attractive for implementation, as it does not interfere significantly with the aerodynamic performance of the wing itself. Moreover, the fluctuations of the aerodynamic forces in the controlled case based on the principal mode are nearly ten times larger than the fifth-mode-based control case.

## 5. Conclusion

The present study utilized a linear parabolized stability analysis as a tool to guide the design of a flow control scheme to attenuate a trailing vortex. Contrary to intuition, the stability analysis of this complex three-dimensional flow field revealed that the vortex instability was excited through the so-called “fifth wake mode” with an inferior growth rate compared to the most unstable “principal wake mode.” From this, we investigated controlled cases using the principal and fifth wake modes, finding that the fifth wake mode indeed reduces the tip vortex length by 7% and 21%, respectively, relative to the uncontrolled reference case. This result provides the insight that, even though the principal wake mode is more unstable, the higher-order wake mode provides a viable pathway to excite the vortex instability and thus yielding a more effective attenuation of the vortex.

From this result, we are able to gain a better understanding of the underlying physical mechanism behind the accelerated attenuation. In particular, we postulate that the fifth wake mode generates counter-rotating streaks, analogous to boundary layer streaks (Andersson *et al.* 1998), that trigger perturbations that counter-rotate relative to the base flow vortex, thereby effectively exciting the instability. Furthermore, we conjecture that disturbing the flow field in the tip-vortex region may be less effective due to the relatively weak nascent vortex development. The complex interaction of the wake and vortex motion provides a quintessential and typical example for the effectiveness of stability analysis (even in approximate form) in guiding the control design for complex flow fields.

## Acknowledgements

We gratefully acknowledge the support from the US Office of Naval Research (grant number: N00014-15-1-2403). YS and KT thank the Research Computing Center at the Florida State University.

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